

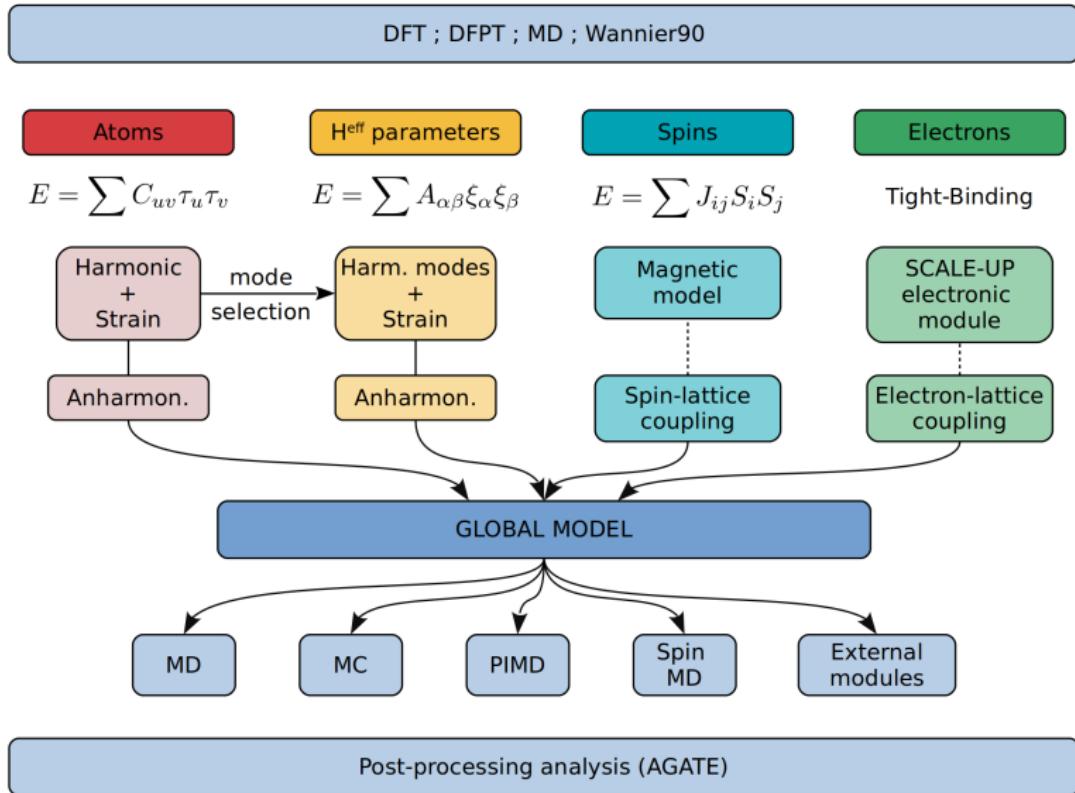
# Development of second-principles methods on spin models and electron-lattice models

Xu He, Nicole Helbig, Miguel Pruneda, Pablo Ordejón, Philippe Ghosez, Matthieu Verstraete, and Eric Bousquet



June 2, Abidev 2021

# Overview



# Spin model

## Energy

$$E = - \sum_{ij} (J_{ij}^{iso} \vec{S}_i \cdot \vec{S}_j + \sum_{uv} S_i^u J_{ij}^{ani,uv} S_j^v + D_{ij} \vec{S}_i \times \vec{S}_j)$$

## Effective magnetic field

The effective magnetic field (the spin torque) of  $\vec{S}_i$ :

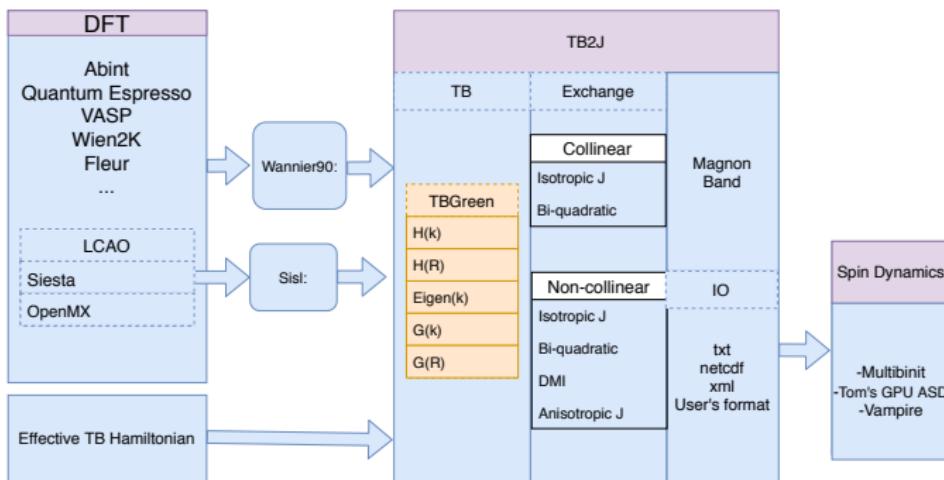
$$\vec{H}_i = -\frac{1}{m_i} \frac{\partial E}{\partial \vec{S}_i} .$$

## Stochastic Landau-Lifshitz-Gilbert Equation:

$$\frac{d\vec{S}_i}{dt} = -\gamma_L \left\{ \vec{S}_i \times (\vec{H}_i + \vec{H}_i^{th}) + \lambda \vec{S}_i \times [\vec{S}_i \times (\vec{H}_i + \vec{H}_i^{th})] \right\} ,$$

# TB2J: a python package for computing magnetic interaction parameters

DFT → TB → Green's function + perturbation → J



Use localized basis set for spin rotation perturbation.

$$H_{imjm'\sigma\sigma'}(\vec{R}) = \langle \psi_{im\sigma}(\vec{r}) | H | \psi_{jm'\sigma'}(\vec{r} + \vec{R}) \rangle$$

$$S_{imjm'\sigma\sigma'}(\vec{R}) = \langle \psi_{im\sigma}(\vec{r}) | \psi_{jm'\sigma'}(\vec{r} + \vec{R}) \rangle$$

Decompose  $H_i$  into scalar (charge) part and vector (spin) part.

$$\begin{aligned} H_{imm'} &= p_{imm'}^0 I + \vec{p}_{imm'} \cdot \vec{\sigma} \\ &= p_{imm'}^0 I + p_{imm'} \vec{e}_{imm'} \cdot \vec{\sigma} \end{aligned}$$

$i, j$ : site indices.  $m$ : orbital index,  $\sigma$ : spin index.

Rigid rotation of exchange field:

$$\delta H_{imm'} = p_{imm'} \delta \vec{e}_{imm'}$$

Green's function in k-space:

$$G(\vec{k}, \epsilon) = (\epsilon S(\vec{k}) - H(\vec{k}))^{-1}$$

In real space:

$$G(\vec{R}, \epsilon) = \int_{BZ} G(\vec{k}, \epsilon) e^{-i\vec{k}\cdot\vec{R}} d\vec{k}$$

Decompose  $G_{ij}$  into scalar and vector parts:

$$G_{im,jm'} = G_{im,jm'}^0 I + \vec{G}_{im,jm'} \cdot \vec{\sigma}$$

Perturbing two spin rotations, the energy difference due to the interaction between  $i$  and  $j$ :

$$\delta E_{ij} = -\frac{2}{\pi} \int_{-\infty}^{E_F} \text{Im} \text{Tr}(\delta H_i G_{ij} \delta H_j G_{ji}) d\epsilon$$

Plug in the spin rotation perturbation is  $\delta H_i = p_i \delta \vec{e}_i$ , we get:

$$\begin{aligned}\delta E_{ij} = & -2 \operatorname{Im}[A_{ij}^{00} - \sum_{u=x,y,z} A_{ij}^{uu}] \delta \vec{e}_i \cdot \delta \vec{e}_j \\ & - 2 \sum_{u,v \in x,y,z} \delta \vec{e}_i^u \operatorname{Im}(A_{ij}^{uv} + A_{ij}^{vu}) \delta \vec{e}_j^v \\ & - 2 \operatorname{Re}(A_{ij}^{0u} - A_{ij}^{u0}) \cdot (\delta \vec{e}_i \times \delta \vec{e}_j)\end{aligned}$$

where

$$A_{ij}^{uv} = -\frac{1}{\pi} \int_{-\infty}^{E_F} \operatorname{Tr}\{p_i G_{ij}^u p_j G_{ji}^v\} d\epsilon$$

and  $u, v \in (0, x, y, z)$

Comparing with the Heisenberg model, we get:

$$\begin{aligned}J_{ij}^{iso} &= \operatorname{Im}(A_{ij}^{00} - A_{ij}^{xx} - A_{ij}^{yy} - A_{ij}^{zz}) \\ J_{ij}^{ani,uv} &= \operatorname{Im}(A_{ij}^{uv} + A_{ij}^{vu}) \\ D_{ij}^u &= \operatorname{Re}(A_{ij}^{0u} - A_{ij}^{u0}),\end{aligned}$$

# TB2J: usage and outputs

Prepare Wannier Hamiltonian files and run:

```
wann2J.py --spinor --prefix_spinor abinito --elements  
Fe  
--posfile abinit.in --efermi 6.15 --kmesh 5 5 5
```

```
=====  
Information:  
Exchange parameters generated by TB2J 0.2.5.  
=====  
Cell (Angstrom):  
0.030 3.950 3.950  
3.950 0.030 3.950  
3.950 3.950 0.030  
=====  
Atoms:  
(Note: charge and magnons only count the wannier functions.)  


| Atom_number | x      | y      | z      | w_charge | M(x)    | M(y)   | M(z)    |
|-------------|--------|--------|--------|----------|---------|--------|---------|
| Bi1         | 0.2413 | 0.2413 | 0.2413 | 2.1538   | -0.0015 | 0.0000 | -0.0044 |
| Bi2         | 4.2060 | 4.2060 | 4.2060 | 2.1538   | 0.0000  | 0.0000 | 0.0044  |
| Fe1         | 2.0165 | 2.0165 | 2.0165 | 6.3564   | -0.0260 | 0.0000 | 3.7927  |
| Fe2         | 5.9812 | 5.9812 | 5.9812 | 6.3564   | -0.0176 | 0.0000 | -3.7927 |
| O1          | 5.5238 | 2.1558 | 3.9388 | 4.8306   | -0.0013 | 0.0000 | -0.0705 |
| ....        |        |        |        |          |         |        |         |
| Total       |        |        |        | 46.0038  | -0.0493 | 0.0000 | -0.0000 |

  
=====  
Exchange:  

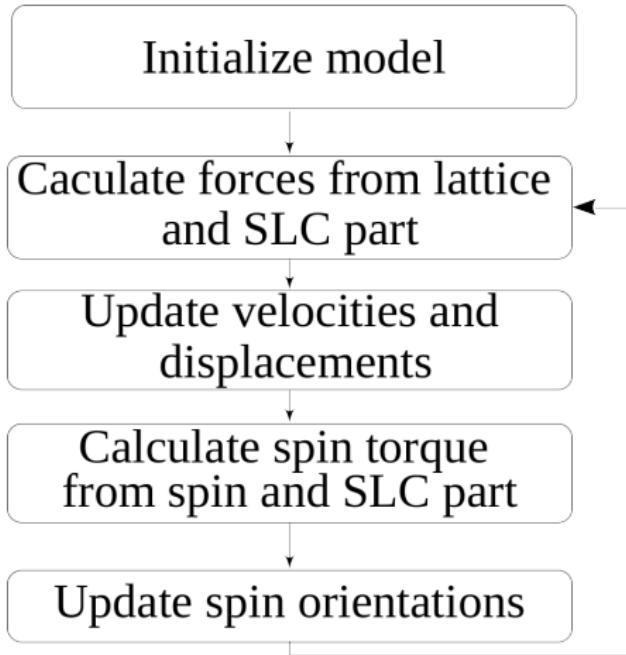

| i                                       | j   | R         | J_iso(meV) | vector                 | distance(A) |
|-----------------------------------------|-----|-----------|------------|------------------------|-------------|
| Fe2                                     | Fe1 | (0, 1, 1) | -28.9371   | ( 3.934, 0.015, 0.015) | 3.934       |
| J_iso: -28.9371                         |     |           |            |                        |             |
| [Testing!] Jprime: -59.620, B: -15.342  |     |           |            |                        |             |
| [Testing!] DMI: (-0.5191 1.3581 0.1090) |     |           |            |                        |             |
| [Testing!] J_ani:                       |     |           |            |                        |             |
| [[ 0. -0.002 0.047]                     |     |           |            |                        |             |
| [-0.002 0. -0.154]                      |     |           |            |                        |             |
| [ 0.047 -0.154 0. ]]                    |     |           |            |                        |             |


```

# Coupled spin-lattice dynamics

Spin-lattice coupling  
Hamiltonian:

$$\begin{aligned} H_{\text{slc}} = & - \sum_{i,u} L_{iu} \vec{S}_i \tau_u \\ & - \frac{1}{2} \sum_{i,u,v} N_{iuv} \vec{S}_i \tau_u \tau_v \\ & - \sum_{i \neq j, u} O_{iju} \vec{S}_i \vec{S}_j \tau_u \\ & - \frac{1}{2} \sum_{i \neq j, u, v} T_{ijuv} \vec{S}_i \vec{S}_j \tau_u \tau_v. \end{aligned}$$



More complicated  
coupled-spin-lattice mover might be  
needed.

# Spin-lattice coupling parameters downfolded from electron-phonon coupling parameters

$$\delta A_{ij}^{uv} = -\frac{1}{\pi} \int_{-\infty}^{E_F} \text{Tr} [ \delta p_i G_{ij}^u p_j G_{ji}^v + p_i \delta G_{ij}^u p_j G_{ji}^v + p_i G_{ij}^u \delta p_j G_{ji}^v + p_i G_{ij}^u p_j \delta G_{ji}^v ]$$

Electron-phonon coupling parameters as perturbation.

$$\delta H = \frac{dH}{d\tau} \delta \tau + \frac{1}{2} \frac{d^2 H}{d\tau^2} \delta \tau^2 + \dots$$

$\delta p$  is from the onsite part of  $\delta H$ .

$$\delta G = G \delta HG + G \delta HG \delta HG + \dots$$

The variation to the exchange parameters can thus be written as:

$$\delta J_{ij}^{iso} = \text{Im}(\delta A_{ij}^{00} - \sum_{u=x,y,z} \delta A_{ij}^{uu}),$$

$$\delta J_{ij}^{ani,uv} = \text{Im}(\delta A_{ij}^{uv} + \delta A_{ij}^{vu}),$$

$$\delta D_{ij}^u = \text{Re}(\delta A_{ij}^{0u} - \delta A_{ij}^{u0}),$$

# TB2J Links

## TB2J Documentation:

<https://tb2j.readthedocs.io/>

## TB2J GIT repo:

<https://github.com/mailhexu/TB2J>

## TB2J Forum:

<https://groups.google.com/g/tb2j>

## TB2J Examples:

[https://github.com/mailhexu/TB2J\\_examples](https://github.com/mailhexu/TB2J_examples)

## TB2J Paper:

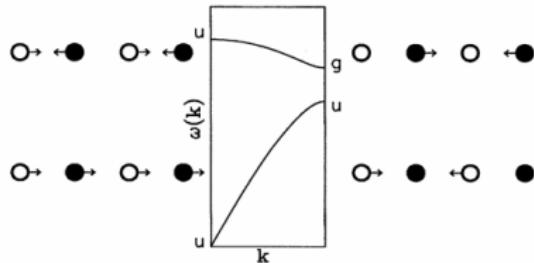
Computer Physics Communications, 107938 (2021).

# Lattice Wannier functions

$$E = \sum_{\alpha\beta} A_{\alpha\beta} \xi_{\alpha} \xi_{\beta} + \sum_{\alpha\beta,mn} B_{\alpha\beta,mn} \xi_{\alpha}^m \xi_{\beta}^n$$

Lattice distortion representation:

- phonon modes
- atomic displacements
- Lattice Wannier function



Phys Rev B, 52(18), 13236, 1995

## Why LWF

- Allows for disorder (compared with single phonon modes).
- Fewer degrees of freedom than full lattice model
- Easier to fit higher order terms.
- Clear physical meaning.

# SCDM-k method for building LWF

## Select column density matrix

- Columns of density matrix are localized in local basis set.
- The rank of the density matrix is small.
- Rank revealing QR decomposition

$$m \begin{matrix} n \\ A \end{matrix} = m \begin{matrix} k \\ A[:, c] \end{matrix} \times \begin{matrix} k & n-k \\ I & T \end{matrix} \times \begin{matrix} k \\ \Pi \end{matrix} \times \begin{matrix} n \\ n-k \end{matrix}$$

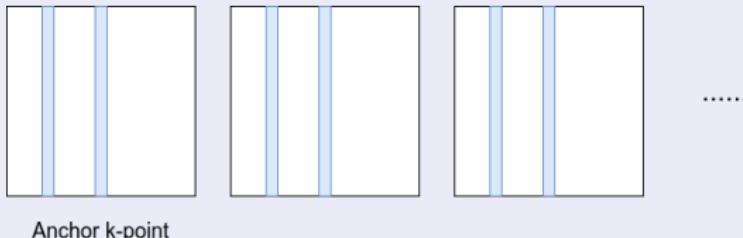
## Disentanglement

$$\rho = \Psi f(E) \Psi^*$$

f: Fermi function / Gaussian / etc

## Into k-space

Select one  $k$  point as anchor point, and choose the same columns for all  $k$ -points.



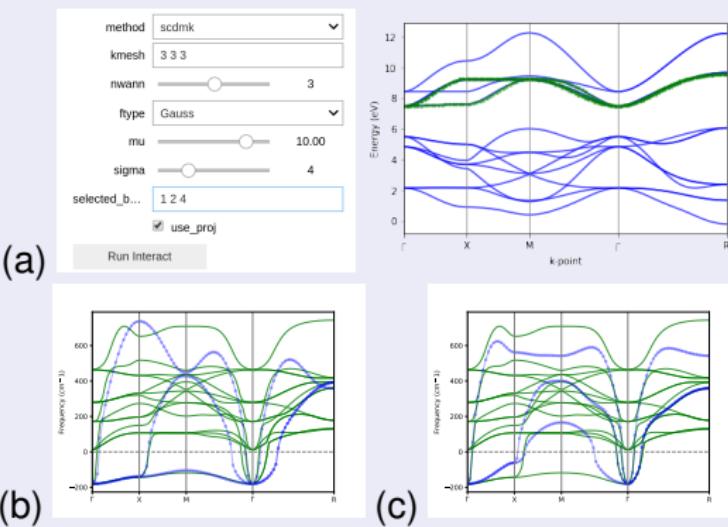
## Advantages

- No gauge problem
- Easy to use
- Parallel over  $k$
- Easy to implement
- Can be used as initial guess for MLWF

Refs: Damle and Lin, et al . Chem. Theory Comp. 2015, 11, 4, 1463–1469 ;  
Multiscale Modeling and Simulation, 2018; J.Comp. Phys. 2016.12.053;

# Banddownfolder: a python package for building lattice/electron Wannier functions models.

## Demo



(a)

(b)

(c)

**Figure:** (a) Electron Wannier function for SrTiO<sub>3</sub>.  
(b,c) Lattice Wannier for BaTiO<sub>3</sub> using (b)  
SCDM-k method and (c) projected Wannier  
function.

## Status

- SCDM-k/projected wannier function + disentanglement.
- Python and Fortran version algorithm implemented.
- LO-TO splitting not yet implemented but should be easy.
- Integration in ABINIT on the way.

# Electron-lattice coupled models

## Two types of electron-lattice models

- Active electron part
- Passive electron part

### Electrons as active part

$$\begin{aligned} E(\tau, \rho) &= E_{latt}^{ref}(\tau) - E_{elec}^{ref}(\tau, \rho^{ref}) + E_{elec}(\tau, \rho) \\ H_{elec}(\tau) &= H_{elec}^{ref}(\tau) + H_{ee} \end{aligned}$$

$E_{latt}^{ref}$  and  $H_{elec}^{ref}$  are fit to the DFT data with a reference electronic structure, e.g. weakly correlated, non-magnetic DFT.

$H_{elec}$  :  $H_{elec}^{ref}$  + correction (e.g. Hubbard U) .

## Electrons as passive part

$$E = E_{latt}$$

$E_{latt}$  are fit to DFT calculation with target states.

Electron hamiltonian takes  $\tau$  from lattice dynamics and does not feed back force.

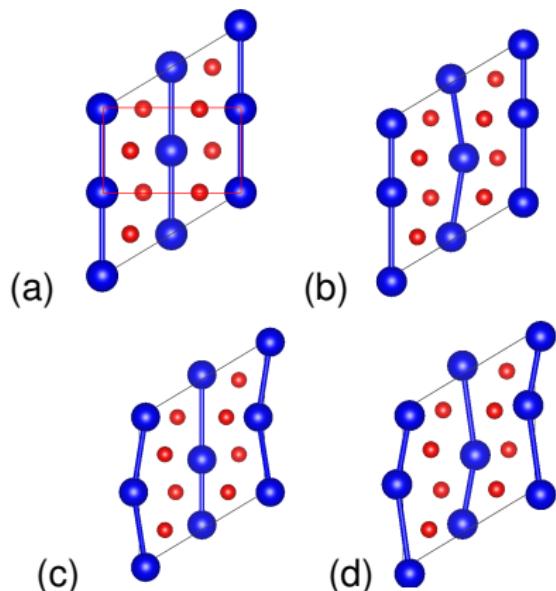
## Electron models: tight binding + electron-lattice-coupling + U

$$H_{elec}^{ref}(\tau) = \sum_{ij} (t_{ij}^{ref}(\tau=0) + \sum_u g_{ij,u} \tau_u) c_i^\dagger c_j$$

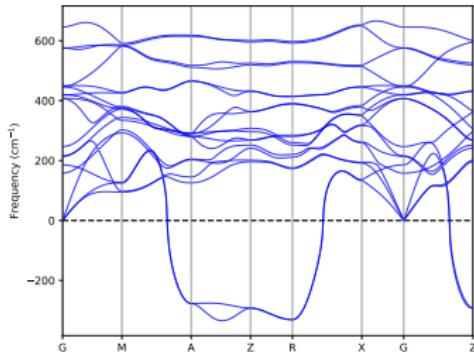
$$H_{elec}(\tau) = H^{ref}(\tau) + \sum_i U n_i^\uparrow n_i^\downarrow$$

# Electron-lattice coupled models: Examples of VO<sub>2</sub>

VO<sub>2</sub> : metal-insulator transition accompanied by R-M1 phase transition at 340K.



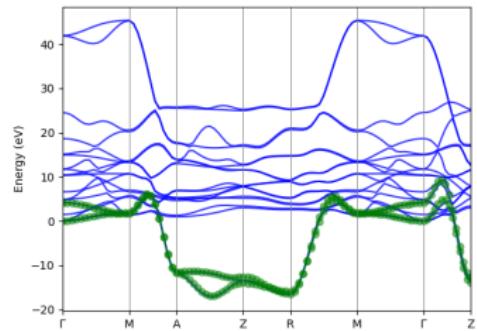
**Figure:** (a) The R phase. (b) and (c) two degenerate phonon mode distortions at  $\mathbf{q} = R$ . (d) M1 phase.



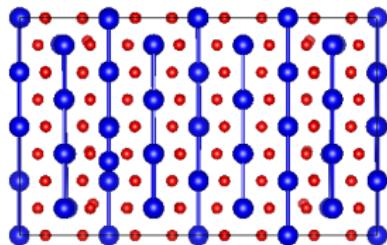
**Figure:** Phonon band structure of R phase VO<sub>2</sub>.

# Modelling MIT in VO<sub>2</sub>

## Fitting of LWF

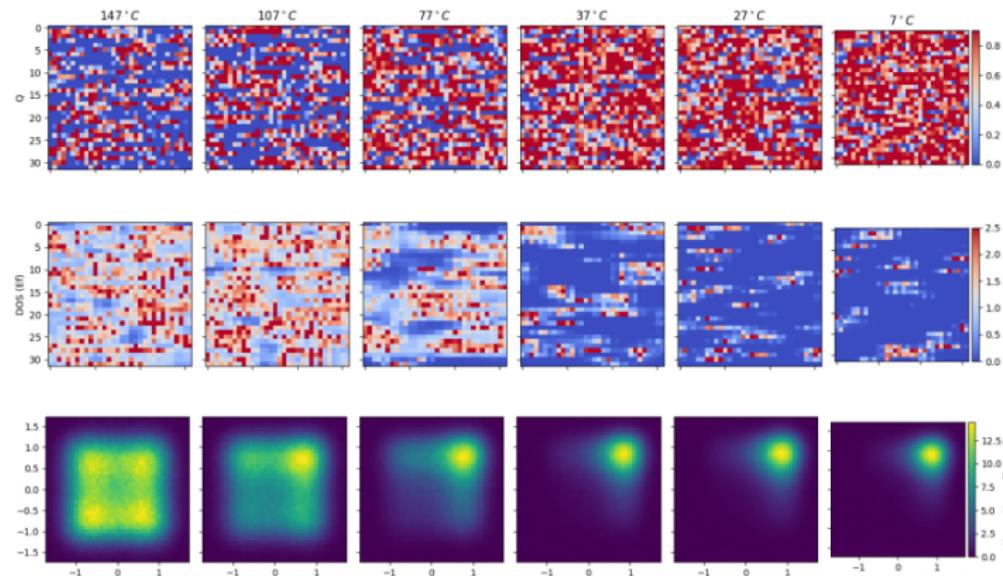


**Figure:** Eigenvalue of IFC, and the LWF Hamiltonian



**Figure:** Supercell with one LWF populated.

# Structural and electronic phase transitions in a global view: The growth of the R/M and metal/insulator domains.



**Figure:** Top panel: The amplitudes of the LWF in each cell. Middle panel: local density of states at Fermi energy in each cell. Bottom panel: the histograms of the  $(\xi_1, \xi_2)$ .

## Structural and electronic phase transitions in a global view:

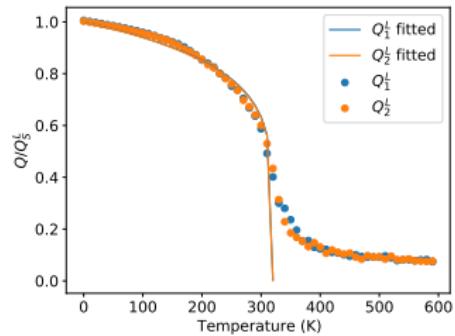


Figure: Fourier component of the LWF amplitudes at  $q = R$ .

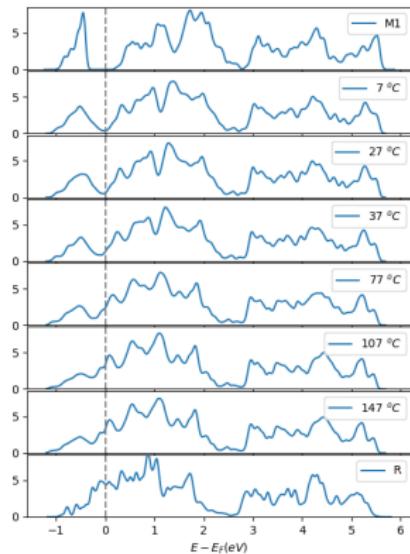


Figure: Total DOS as function of temperature.

# Summary

## Spin

- New features in TB2J: DMI, Anisotropic exchange.
- Method of getting spin-lattice coupling parameters by downfolding electron-phonon coupling.
- Spin-lattice coupled dynamics implemented in MULTIBINIT.

## LWF

- Banddownfolder: package for easy building WF models.
- LWF dynamics implemented in MULTIBINIT.

## Electron-lattice

- Tools for building electron-lattice coupled models.
- Electron band structure in thermalized lattice.
- Methods implemented in a python package.